



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants or Patentee: Ken Abbott et al.
Attorney's Docket No: 294438007US
Application /Patent No.: 09/541,326
Filed or Issued: April 2, 2000
For: LOGGING AND ANALYZING COMPUTER USER'S
CONTEXT DATA

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR §§ 1.9(f) AND 1.27(c)) -- SMALL BUSINESS CONCERN

I declare that I am:

- ☐ the owner of the small business concern identified below.
☒ an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF ORGANIZATION: Tangis Corporation

ADDRESS OF ORGANIZATION: Suite 4390, 701 Fifth Avenue
Seattle, Washington 98104

I hereby declare that the above-identified small business concern qualified as a small business concern as defined in 13 CFR §§ 121.3-18 and reproduced in 37 CFR § 1.9(d) for purposes of paying reduced fees under 35 USC §§ 41(a) and 41(b) in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that the small business concern identified above qualifies as a small business concern as defined in 37 CFR § 1.9(d) for purposes of paying reduced fees under 35 USC §§ 41(1) and 41(b), with regard to the invention entitled:

LOGGING AND ANALYZING CMOPUTER USER'S CONTEXT DATA

by inventor(s): Ken Abbott et al.

as described in:

- ☐ the specification filed herewith.
☒ Application No. 09/541,326, filed April 2, 2000.
☐ Patent No. _____, issued _____.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR § 1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR § 1.9(d), or a nonprofit organization under 37 CFR § 1.9(e).*

*NOTE: Separate verified statements are required from each named person, concern and organization having rights to the invention averring to their status as small entities. (37 CFR § 1.27)

FULL NAME _____

ADDRESS _____

☐ individual ☐ small business concern ☐ nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earlier of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: _____ Dan Newell _____

TITLE IN ORGANIZATION: _____ CEO _____

ADDRESS OF PERSON SIGNING: _____ Suite 4390, 701 Fifth Avenue _____

_____ Seattle, Washington 98104 _____

SIGNATURE: _____  _____

DATE: _____ 10/11/00 _____



DECLARATION

As the below-named inventors, we declare that:

Our residences, post office addresses, and citizenships are as stated below under our names.

We believe we are the original, first and joint inventors of the subject matter claimed and for which a patent is sought on the invention entitled "LOGGING AND ANALYZING COMPUTER USER'S CONTEXT DATA," the specification of which was filed in the U.S. Patent and Trademark Office on April 2, 2000 and assigned U.S. Patent Application No. 09/541,326; and that this application in part discloses and claims subject matter disclosed in the earlier-filed Application No. 09/216,193, entitled "METHOD AND SYSTEM FOR CONTROLLING PRESENTATION OF INFORMATION TO A USER BASED ON THE USER'S CONDITION," which was filed in the United States Patent and Trademark Office on December 18, 1998 and Application No. 09/464,659, entitled "STORING AND RECALLING INFORMATION TO AUGMENT HUMAN MEMORIES," which was filed in the United States Patent and Trademark Office on December 15, 1999.

We have reviewed and understand the contents of the above-entitled specification, including the claims, as amended by any amendment specifically referred to above.

We acknowledge our duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. § 1.56(a), including material information which became available between the filing date of the prior application and the filing date of this application.

We further declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that the making of

willfully false statements and the like is punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and may jeopardize the validity of any patent issuing from this patent application.


Ken Abbott

Date October 11, 2000

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Dan Newell

Date

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Jim Roberts

Date

10/19/99

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